



UKSIF is the membership association for sustainable and responsible financial services. We promote responsible investment and other forms of finance that support sustainable economic development, enhance quality of life and safeguard the environment. We also seek to ensure that individual and institutional investors can reflect their values in their investments.

UKSIF Financial Adviser members have the option of joining the Ethical Investment Association (EIA), a separate chapter of UKSIF for financial advisers, at no extra cost. More information about the EIA is on the following page.

Benefits of UKSIF Membership

UKSIF members are able to:

- Benefit from involvement in industry initiatives such as National Ethical Investment Week (www.neiw.org).
- Highlight your organisation's services in our membership directory available at www.uksif.org.
- Use the UKSIF Member logo on your website and marketing materials.
- Participate in the UK-based network representing the broadest set of stakeholders in sustainable and responsible finance.
- Receive regular, up-to-date information in "What's New", UKSIF's e-newsletter.
- Increase your network of contacts in sustainable finance and access the industry knowledge and experience of our members and staff.
- Join cooperative efforts to influence government and policy makers on behalf of sustainable and responsible finance.
- Attend UKSIF's programme of events for your membership category, such as our series of marketing seminars.
- Access high-level information and presentations, available only on the members' section of our website.
- Receive invitations to networking opportunities, such as our AGM and social events, as well as to events organised by UKSIF members and our partners.

Statement of Principles

By joining UKSIF, members subscribe to the following statement of principles:

- Members agree to support the work of and to disseminate information about UKSIF and the work of its members.
- Members commit to assist, either individually or with other UKSIF members, with improving the public's understanding of sustainable and responsible finance and with supporting the theory and practice of sustainable and responsible finance.
- Members strive to act with a high degree of personal integrity, maintaining honourable relationships with colleagues, clients and all those who rely on the member's professional judgement and skills.
- Members seek continually to maintain and improve the knowledge, skills and competence relevant to their profession and be diligent in the performance of their occupational duties.
- Members make an affirmative commitment to apply honest, thorough and diligent methods of research and evaluation.
- Members should obey the laws and regulations relevant to their profession and should avoid any conduct or activity that would cause unjust harm to others.

Affiliation

For organisations that would like to benefit from UKSIF's services, but that do not wish to subscribe to UKSIF's statement of principles, UKSIF offers affiliate status. Affiliates are not eligible to participate in the governance of UKSIF and may not use the UKSIF logo. Affiliate fees are equal to membership fees.



The Ethical Investment Association (EIA) is a network of financial advisers from around the UK dedicated to the promotion of green and ethical investment. Members are committed to increasing access to green and ethical investment advice for individuals, businesses and charities, and to improving their own professional knowledge.

Benefits of EIA membership

- Access to a network of experienced advisers to help you develop your business in this market.
- Help and advice for financial advisers from financial advisers who understand your day job.
- Support and assistance through four quarterly EIA conferences and member email communications.
- Your directory listing on the EIA website and the "Find an IFA" search function.
- Ability to use ready-made green and ethical investment marketing literature.
- Reduced subscription rate to Ethical Performance and other member discounts.

EIA Code of Conduct

- We undertake, at all times to act with integrity and conduct my/our business to a high professional standard.
- We undertake to fulfil the financial planning needs of my/our clients to the best of my/our ability and, where appropriate, co-operate with others whose services are related to meeting the needs of my/our clients.
- We undertake to ensure that I/we continually improve my/our knowledge with particular emphasis on green and ethical investment.
- We undertake to avoid any action likely to knowingly prejudice the financial well being of my/our clients.
- We undertake to present accurately and honestly all the facts essential to my/our clients' decisions.
- Corporate members - we agree to provide the Steering Group, on an annual basis, with a copy of our standard Fact Find and the separate ethical questionnaire.

All EIA members must sign up to the EIA code of conduct.

Next Steps

- 1 Fill out the form on page three and return it to UKSIF, Holywell Centre, 1 Phipp Street London EC2A 4PS or via email using the "Submit via Email" button at the top of page three. We will acknowledge receipt of your application.
- 2 Applications for membership must be approved by our Board of Directors. If your application is rejected by the board, we will refund your application fee in full.
- 3 Once your application is approved by the board, we will contact you again to confirm your details for the membership directory and provide log-in details to the members' section of the website. At this point, we will also ask you for the names of additional colleagues in your organisation who would like to receive information from us.

Any questions? Call us on +44 (0) 20 7749 9950 or email us at info@uksif.org.



FINANCIAL ADVISER MEMBERSHIP APPLICATION FORM

Submit by email

Print Form

Financial Adviser Membership

Financial advisers may join UKSIF and the EIA either as organisations or as individuals. To join as an individual, you must have an active FSA Individual Reference Number. You will be listed by name and your organisation will not be mentioned.

Name of applicant

Organisation Individual

Address
City/Town
Post Code
Website

Main Contact(s)

UKSIF will contact the following person via e-mail regarding your organisation's or your individual member benefits and involvement in UKSIF governance, eg voting forms for our AGM. If applying as an organisation, you will have the opportunity to provide additional contact details to receive member benefits after your membership is approved.

Name
E-mail Address
Telephone

Membership Category - Professionals

- Full time staff of more than 50: £1,650+vat (£1,980)
- Full time staff of 20-49: £825+vat (£990)
- Full time staff of 10-19: £440+vat (£528)
- Full time staff of 2-9: £220+vat (£264)
- Sole Trader/Registered Individual: £110+vat (£132)

I am applying for affiliate status (same membership fees apply).

I/We would like to be a member of the Ethical Investment Association at no extra cost and I/we agree to abide by the EIA Code of Conduct as described on page two of this form.

FSA Firm Reference Number:

FSA Individual Reference Number:

I/we would like to receive a discounted yearly subscription to Ethical Performance for an additional £50.00 (85% discount). EIA members only. **I am enclosing £50.00 in addition to my membership fee to cover the subscription or invoice me for the additional cost.**

Declaration

We apply for UKSIF membership and agree to bound by the Memorandum and Articles of Association of UKSIF (unless applying for affiliation).

We declare that we have read the membership category information on page 2 of this form and have selected the correct membership category and fee.

Name
Signature

Method of Payment

- Cheque enclosed**
Please make cheques payable to:
UK SUSTAINABLE INVESTMENT AND FINANCE
- Invoice me the appropriate fee**

Data Protection

UKSIF will send e-mail communications to the contact addresses you provide. We sometimes share contact details with selected partners so that they may send you invitations for relevant events and other information that we believe might be useful.

By signing this form you are consenting to this on behalf of your contacts unless you tick the following box. If you DO NOT wish UKSIF to disclose your details, please tick here:

You may change your consent at any time by contacting info@uksif.org.

Return your completed form to UKSIF, Holywell Centre, 1 Phipp St, London EC2A4PS.

Any questions? Call us on +44 (0) 207749 9950, or email us at info@uksif.org.

You can also fill in this PDF form and email it to us using the "Submit by Email" at the top of this form.